Assurance Argument Indiana University Southeast - IN

8/21/2019

Criterion 2

Integrity: Ethical and Responsible Conduct

2 - Integrity: Ethical and Responsible Conduct

The institution acts with integrity; its conduct is ethical and responsible.

2.A - Core Component 2.A

The institution operates with integrity in its financial, academic, personnel, and auxiliary functions; it establishes and follows policies and processes for fair and ethical behavior on the part of its governing board, administration, faculty, and staff.

Argument

IU Southeast's core value of integrity (2332) is expressed in the following terms:

We are uncompromising in our commitment to doing the right thing and being direct in our dealings. We are good stewards of our resources and take that responsibility seriously, are conscientious in our decision-making, and practice ethical behavior in all we do.

IU Southeast lives up to these words.

As a regional campus within a larger university system, IU Southeast shares in the robust body of policies and procedures of Indiana University. Indiana University maintains an extensive online library of these policies and procedures (which are centrally located and accessed here: 2039). These govern the day-to-day operations of the institution. University policies cover and are organized by the following six main areas:

- Academic, Faculty, and Students (2040)
- Administration and Operations (2041)
- Financial (2046)
- Human Resources (2048)
- Information and Information Technology (IT) (2049)
- Research (2051)

Academic, Faculty, and Students

Indiana University currently has 104 policies within this category, and the following is only a partial list that can serve as an example:

- ACA-01: Authority of the Trustees of Indiana University (2214)
- ACA-02: Authority of the President, Vice Presidents and Chancellors/Provost (2708)
- ACA-30: Research Misconduct (2309)
- ACA-32: Academic Freedom (2310)
- ACA-33: Code of Academic Ethics (2093)
- ACA-37: Faculty and Librarian Tenure (2709)
- ACA-54: Establishing Campus Admission Policies (2237)
- ACA-56: Transfer of Credit Completed at the 100 and 200 Level (2129)

- ACA-65: Procedures for Program Reviews (1923)
- ACA-68: Grades for Credit Earned by Examination (2710)
- ACA-71: Discussion of Grading Policy (2245)
- ACA-72: Cheating and Plagiarism (2311)
- STU-01: Student Organizations (2729)
- UA-17: Conflict of Interests and Commitment (2091)
- USSS-06: Student Rights Under FERPA (2103)

Faculty members of Indiana University and its regional campuses are governed by numerous policies that outline ethical and responsible practices. For example, Indiana University policy ACA-33 (2093), Code of Academic Ethics, outlines the rights and responsibilities, examples of misconduct, and enforcement procedures for all "persons whose service to the University includes teaching, scholarship, librarianship, and academic administration." At IU Southeast, policies and procedures related to faculty members are clearly outlined in the IU Southeast Faculty Manual (2250), which outlines rights and responsibilities, procedures for promotion and tenure, academic freedom and ethics, and matters of scholarship and instruction.

On the campus itself, the IU Southeast Faculty Senate, as outlined in its Constitution and By-Laws (1726), is a representative body that, along with the administration, is charged with "exercising the legislative authority granted to the faculty by the Board of Trustees of Indiana University, making recommendations, sharing in the initiation of policies, and participating in the decision-making process" of IU Southeast. The IU Southeast Faculty Senate is responsible for, inter alia, defining and reviewing the goals of the university; setting requirements for degrees and determining when those requirements have been met; authorizing the granting of degrees; recommending priorities of educational programs; and establishing guidelines for student admissions, academic probation, student conduct, and related student affairs. A formal committee structure (2505) is in place to assist the Faculty Senate with its responsibilities.

The rights and responsibilities of students are outlined in the Code of Student Rights, Responsibilities, and Conduct (summarized on the IU Southeast Dean of Student Life website here: 1672). The IU Southeast Dean of Student Life has implemented grievance procedures (1676) for students who believe their rights have been infringed upon. These procedures are posted publicly online (2699) and include resources such as a grievance form (0290) and summary flow chart (2700). Additionally, there are handbooks outlining the rights and responsibilities of students living on campus (0846), students who are athletes (2701), and students engaged in organizations (2702).

Administration and Operations

Indiana University Policy ACA-01 (2214) outlines the authority of the Board of Trustees (BoT), which is the governing body exercising legal authority over the university and its regional campuses (see BoT about: 1653). The ACA-01 policy statement summarizes its composition and meeting requirements:

The Board of Trustees (also referred to as "the Trustees" or "the Board") comprises nine persons, with eight selected for a term of three years. Five of the eight are selected by the Governor of Indiana; three are elected by degree-holding alumni of the University; one student is appointed for a two-year term by the Governor following a search and screen procedure. The terms are so arranged that one member is elected each year by the alumni; the number to be selected by the Governor varies from year to year. The board is required by statute to meet once annually on the occasion of Commencement, but actually meets a number of times per year in public on one of the several

campuses of the University, which provides an opportunity for direct contact with the University's several communities of faculty and students.

The IU BoT has a defined set of by-laws (1654), and its responsibilities are circumscribed in detail across a range of statutes, most of which can be found in the Code of the State of Indiana under Title 21, Higher Education (1655). Board members execute their duties within the guidelines set for all public servants under IC 35-44.1-1-4b regarding statutory conflict of interest.

Though the IU BoT is the legal and final authority for Indiana University, it has delegated authority to the President as the chief officer of the university (2707). Indiana University policy ACA-02 (2708) outlines the authority of the President, Vice Presidents, and Chancellors. "The President is directly accountable to the Board of Trustees for accomplishing the objectives of Indiana University, for determining missions and priorities for its several elements, and for the effective and economical planning, utilization, and management of its resources." The Vice Presidents of Indiana University oversee departments and responsibilities that are attached to the Office of the President and form the senior operational cabinet of the university. The Chancellors are the chief administrative officers for the regional campuses and report to the Office of the Executive Vice President for University Academic Affairs.

At IU Southeast, Ray Wallace is the Chancellor and chief administrative officer for the campus. The Chancellor is supported by an administrative cabinet of Vice Chancellors overseeing Academic Affairs, Administrative Affairs, Advancement, Enrollment Management and Student Affairs, and the Regional Chief Information Officer (2632). The Chancellor is also supported by the IU Southeast Board of Advisors (2653), which is a channel for community input from the campus' service area, and a number of advisory councils and committees (2633).

Financial

Indiana University currently houses 106 policies related to the financial dealings of the university. Some of these include:

- FIN-ACC-1: Role of Fiscal Officer, Account Manager and Account Supervisor (1992)
- FIN-ACC-30: Fiscal Misconduct (2711)
- FIN-ACC-35: Fraud (2712)
- FIN-ACC-40: Charitable Gifts to Indiana University (2713)
- FIN-ACC-470: Internal Controls (2714)
- FIN-BUD-1: Official Budget (2715)
- FIN-BUD-4: General Fund Year-End Balances (2269)
- FIN-BUD-90: Distance Education Fee (2137)
- FIN-PAY-001: Payroll Compliance (2716)
- FIN-PURCH-09: Purchasing Ethics (2717)

IU Southeast is committed to fiscal integrity and transparency. The Vice Chancellor for Administration and Finance "exercises executive and administrative direction over the campus' financial and selected administrative operational programs; development and monitoring of campus budgets; and coordination of and planning for capital construction, repairs and renovations, management of land acquisitions, operation and maintenance of facilities, ground, and other aspects of campus infrastructure" (2632). Reporting directly to the IU Southeast Chancellor, the Vice Chancellor for Administration and Finance also establishes policies and procedures for the fiscal and operational necessities of the campus; these can be found in the Administrative Affairs Policies and

Procedures Manual (PPM: 1693), which is publicly posted online.

The campus is audited both internally and externally (see individual sections of the PPM for references to auditing: 1630; 1631; 1632; 1633; 1637; 1649; 1671; 1696). Indiana University and its campuses are audited annually by the Indiana State Board of Accounts (SBA: 2056). The SBA may test certain components of the financial statements for all campuses and may require an on-campus visit to look at specific areas (e.g., capital assets or cash on hand). The audited financial statements for the University include all of IU Southeast's financial transactions and accounts. Consolidated annual financial reports dating back to 2001-02 (with audit opinion letters) are posted on a central website (1993). (For more information on fiscal policies and procedures, please see Criterion V.)

Human Resources

Indiana University currently houses 101 Human Resources policies that govern personnel matters for staff and temporary employees, as well as certain benefits for all employees. Some of these include:

- HR-01-10: Establishing a Staff Position (2718)
- HR-01-30: Posting a Position Vacancy (2719)
- HR-02-10: Background Checks (2720)
- HR-02-60: Evaluation Periods for New, Promoted, and Transferred Employees (2721)
- HR-03-40: Position Descriptions (2649)
- HR-05-20: Family and Medical Leave Act (FMLA) Rights (2723)
- HR-06-20: Attendance, Absences, and Personal Emergency (2724)
- HR-07-10: Computer Usage (2725)
- HR-07-20: Confidentiality of Student, Medical, and Personnel Records (2241)
- HR-07-40: Conflicts of Interest (2092)
- HR-08-10: Compliance Training (2726)
- HR-08-100: Training and Education (2727)
- HR-09-40: Problem-Grievance Resolution for Support Staff (2110)
- HR-11-10: Adverse or Unsafe Working Conditions (2728)
- UA-02: Americans with Disabilities Act (ADA) (2730)
- UA-03: Sexual Misconduct (2731)

IU Southeast provides its staff with a Staff Handbook (2114) that outlines the rights, responsibilities, and policies pertaining to non-academic staff. Additionally, the IU Southeast Human Resources webpage (2008) contains links to the Staff Handbook, IU Policies, and the IU Principles of Ethical Conduct (2105). IU Southeast staff, as part of the Indiana University System, can also make use of the IU Human Resources, which provides university-wide services (1645).

- (0290) Form Indiana University Southeast Grievance Form (Revised) October 2013
- (0846) Handbook IU Southeast Residence Life and Housing Handbook 29 August 2014
- (1630) Website IU Southeast Office of Administrative Affairs Policies and Procedures Manual Section B-3 Administration of Budgets - 30 June 2018
- (1631) Website IU Southeast Office of Administrative Affairs Policies and Procedures Manual Section B-4 Special Expense Hospitality - 30 June 2018
- (1632) Website IU Southeast Office of Administrative Affairs Policies and Procedures Manual Section B-5 Purchasing - 30 June 2018

- (1633) Website IU Southeast Office of Administrative Affairs Policies and Procedures Manual Section B-6 Accounts Payable - 30 June 2018
- (1637) Website IU Southeast Office of Administrative Affairs Policies and Procedures Manual Section B-10 Internal Controls - 30 June 2018
- (1645) Website Indiana University Human Resources About 30 June 2018
- (1649) Website Indiana University Internal Audit Audit Process 30 June 2018
- (1653) Website Indiana University Board of Trustees About 30 June 2018
- (1654) Website Indiana University Board of Trustees Bylaws 30 June 2018
- (1655) Website Indiana University Board of Trustees Indiana Code 30 June 2018
- (1671) Website Indiana University Internal Audit Homepage 30 June 2018
- (1672) Website IU Southeast Dean of Student Life Code of Conduct IU Code Summary 30 June 2018
- (1676) Policy IU Southeast Student Code Procedures August 2015
- (1693) Website IU Southeast Office of Administrative Affairs Policies and Procedures Manual Homepage 30 June 2018
- (1696) Website IU Southeast Accounting Services Purchasing 30 June 2018
- (1726) Resource Constitution and By-Laws of the Faculty Senate of Indiana University Southeast April 2018
- (1923) Policy Indiana University Procedures for Program Reviews ACA-65 13 April 1993
- (1992) Policy Indiana University Role of Fiscal Office Account Manager and Account Supervisor FIN-ACC-1 01 January 2005
- (1993) Website Indiana University Office of the Vice President and Chief Financial Officer Resources Consolidated Annual Financial Reports 22 August 2018
- (2008) Website IU Southeast Human Resources Training 22 August 2018
- (2039) Website Indiana University Policies Homepage 23 August 2018
- (2040) Website Indiana University Academic Faculty and Student Policies 23 August 2018
- (2041) Website Indiana University Administrative and Operational Policies 23 August 2018
- (2046) Website Indiana University Financial Policies 23 August 2018
- (2048) Website Indiana University Human Resources Policies 23 August 2018
- (2049) Website Indiana University Information and Information Technology (IT) Policies -23 August 2018
- (2051) Website Indiana University Research Policies 23 August 2018
- (2056) Website Indiana State Board of Accounts Homepage 24 August 2018
- (2091) Policy Indiana University Conflicts of Interest and Commitment UA-17 14 January 2019
- (2092) Policy Indiana University Conflicts of Interest HR-01-40 01 June 2004
- (2093) Policy Indiana University Code of Academic Ethics ACA-33 01 January 2017
- (2103) Policy Indiana University Student Rights Under FERPA USSS-06 01 June 2016
- (2105) Resource Indiana University Principles of Ethical Conduct 05 December 2013
- (2110) Policy Indiana University Problem-Grievance Resolution for Support Staff HR-09-40 20 December 2016
- (2114) Handbook Indiana University Staff Handbook The Essential Guide for Professional Support and Service Staff September 2017
- (2129) Policy Indiana University Transfer of Credit Completed at the 100 and 200 Level ACA-56 29 November 2016
- (2137) Policy Indiana University Distance Education Fee FIN-BUD-90 24 June 2015
- (2214) Policy Indiana University Authority of the Trustees of Indiana University ACA-01 n d
- (2237) Policy Indiana University Establishing Campus Admission Policies ACA-54 03

March 2006

- (2241) Policy Indiana University Confidentiality of Student Medical and Personnel Records HR-07-20 01 March 2003
- (2245) Policy Indiana University Discussion of Grading Policy ACA-71 03 May 1996
- (2250) Handbook Indiana University Southeast Faculty Manual January 2019
- (2269) Policy Indiana University General Fund Year-End Balances FIN-BUD-4 01 April 2003
- (2309) Policy Indiana University Research Misconduct ACA-30 18 April 2017
- (2310) Policy Indiana University Academic Freedom ACA-32 04 November 2005
- (2311) Policy Indiana University Cheating and Plagiarism ACA-72 11 July 1975
- (2332) Website IU Southeast Mission Vision and Values 15 February 2019
- (2505) Resource Constitution and By-Laws of the Faculty Senate of Indiana University Southeast (pp. 16-24 Committees) April 2018
- (2632) Website IU Southeast Office of Administrative Affairs Policies and Procedures Manual Section A-7 Duties of Principal Administrators - 13 April 2019
- (2633) Website IU Southeast Office of Administrative Affairs Policies and Procedures Manual Section A-9 Chancellors Advisory Council and Committees - 13 April 2019
- (2649) Policy Indiana University Position Descriptions HR-03-40 01 February 2016
- (2653) Website IU Southeast Office of the Chancellor Board of Advisors 13 April 2019
- (2699) Website IU Southeast Dean of Student Life Student Complaint and Conduct Procedures - 28 April 2019
- (2700) Resource IU Southeast Summary of Student Conduct Process for Personal Misconduct Fall 2010
- (2701) Handbook IU Southeast 2017-2018 Student-Athlete Handbook and Planner 03 October 2017
- (2702) Handbook IU Southeast Student Organization Handbook 22 June 2016
- (2707) Website Indiana University Board of Trustees Delegation of Authority 30 April 2019
- (2708) Policy Indiana University Authority of the President Vice Presidents and Chancellors-Provost ACA-02 - 11 September 1987
- (2709) Policy Indiana University Faculty and Librarian Tenure ACA-37 27 July 2016
- (2710) Policy Indiana University Credit Earned by Examination ACA-68 21 April 1964
- (2711) Policy Indiana University Fiscal Misconduct FIN-ACC-30 01 March 2005
- (2712) Policy Indiana University Fraud FIN-ACC-35 27 October 2011
- (2713) Policy Indiana University Charitable Gifts to Indiana University FIN-ACC-40 01 June 2008
- (2714) Policy Indiana University Internal Controls FIN-ACC-470 07 January 2019
- (2715) Policy Indiana University Official Budget FIN-BUD-1 01 October 1990
- (2716) Policy Indiana University Payroll Compliance FIN-PAY-001 08 August 2017
- (2717) Policy Indiana University Purchasing Ethics FIN-PURCH-09 12 March 2019
- (2718) Policy Indiana University Establishing a Staff Position HR-01-10 01 February 2016
- (2719) Policy Indiana University Posting a Position Vacancy HR-01-30 02 July 2018
- (2720) Policy Indiana University Background Checks HR-02-10 24 September 2013
- (2721) Policy Indiana University Evaluation Periods for New Promoted and Transferred Employees HR-02-60 28 June 2018
- (2723) Policy Indiana University Family and Medical Leave Act (FMLA) Rights HR-05-20 02 January 2019
- (2724) Policy Indiana University Attendance Absences and Personal Emergency HR-06-20 -01 February 2016
- (2725) Policy Indiana University Computer Usage HR-07-10 01 July 2012

- (2726) Policy Indiana University Compliance Training HR-08-10 01 October 2005
- (2727) Policy Indiana University Training and Education HR-08-100 01 March 2005
- (2728) Policy Indiana University Adverse or Unsafe Working Conditions HR-11-10 13 November 2017
- (2729) Policy Indiana University Student Organizations STU-01 07 January 2016
- (2730) Policy Indiana University Americans with Disabilities Act (ADA) UA-02 06 December 2018
- (2731) Policy Indiana University Sexual Misconduct UA-03 01 January 2018

2.B - Core Component 2.B

The institution presents itself clearly and completely to its students and to the public with regard to its programs, requirements, faculty and staff, costs to students, control, and accreditation relationships.

Argument

IU Southeast maintains unambiguous communication with internal and external constituencies through the official IU Southeast website (1674), admissions materials (1647), the 2017-2019 Bulletin (digital: 2610; PDF: 2122), Employee Handbook (2114), Southeast Magazine for alumni (1732), and the IU Southeast Fast Facts (2664). All of these are reviewed and revised, as necessary, for both accuracy and congruence with the campus' mission.

The IU Southeast website provides information on student services (1664), programs (1509), requirements (e.g. 1791; 2126; 2225), faculty and staff (e.g. 2202; 2203; 2204; 2205; 2206; 2207), and institutional control. It is being constantly updated for accuracy and for accessibility, in keeping with best practices promulgated by University Information Technology Services (UITS).

The website also provides access to digital (1934) and PDF (2122) versions of the undergraduate (2673) and graduate (2674) student bulletins; comprehensive Faculty Senate committee lists (2675); a faculty and staff directory (1729); information about current institutional and professional accreditations (see discussion below); and webpages for every office with which one might need to interact (see example of Success at IU Southeast student services website: 1664). Features such as the Tuition and Fee Estimator (1737) demonstrate transparency in our dealings with prospective and current students in the area of cost.

The online bulletin offers access to both current and archived editions (1656), as well as links (e.g. 1934; 2676) designed to permit efficient and accurate access to resources, such as the offices of the Bursar (2151), Career Development (1866), Disability Services (1523), Personal Counseling (1605), and the Office of the Registrar (1697), all of which have their own websites. The Office of the Registrar oversees the process of bulletin revision.

Marketing materials pertaining to academic programs and policies are drafted by the Office of Marketing and Communications (1688) in consultation with academic department coordinators and/or program directors. This office, residing within Advancement (1742; 2634), also produces the Southeast Magazine, now available in an online edition. Southeast Magazine keeps alumni informed about ongoing initiatives of the campus and the achievements of current and past Grenadiers (1732).

The Office of Admissions (1647) abides by the National Association for Admissions Counseling's Statement of Principles of Good Practice (1685), and staff members are crossed-trained in ways that maximize the chance that at any given time a potential transfer student, a dual-credit recipient, a second-degree applicant, or an applicant inquiring about the status of an application receive accurate information (for departmental policies and procedures, see: 1740).

Accreditation relationships are posted in multiple areas on the IU Southeast website, including the IU Southeast HLC Accreditation website (2192) and the current 2017-2019 Bulletin (2194). The former

is a dedicated site about accreditation that informs internal and external audiences about our status and about the ongoing culture of continuous improvement within which accreditation is one milestone (2506). A series of feature stories highlighting the contributions of institutional units to this culture is ongoing.

IU Southeast maintains specialized accreditation for its professional schools: School of Business (AACSB: 0791), School of Education (CAEP: 2536), and School of Nursing (CCNE: 2537). Additionally, the Indiana Department of Education has approved all IU Southeast teacher education programs (2538), and the Bachelor of Science in Chemistry is accredited by the American Chemical Society (1743). Public notification of these accreditation statuses is maintained on multiple websites: e.g. IU Southeast HLC Accreditation (2506), 2017-2019 Bulletin (2194), School of Business (1721), School of Education (1725), and School of Nursing (1736).

- (0791) Accreditation Association to Advance Collegiate Schools of Business (AACSB) Peer Review Letter to Extend Accreditation 07 May 2012
- (1509) Website IU Southeast Academics Homepage 26 June 2018
- (1523) Website IU Southeast Disability Services Homepage 26 June 2018
- (1605) Website IU Southeast Personal Counseling Homepage 28 June 2018
- (1647) Website IU Southeast Admissions Homepage 30 June 2018
- (1656) Website IU Southeast Academic Advising Bulletin and Archives 30 June 2018
- (1664) Website IU Southeast Succeed at IU Southeast 30 June 2018
- (1674) Website IU Southeast Homepage 30 June 2018
- (1685) Resource National Association for College Admission Counseling Statement of Principles of Good Practice Code of Ethics and Professional Practices - 16 September 2017
- (1688) Website IU Southeast Office of Marketing and Communication Homepage 30 June 2018
- (1697) Website IU Southeast Office of the Registrar Homepage 30 June 2018
- (1721) Website IU Southeast School of Business About Us Accreditation 10 July 2018
- (1725) Website IU Southeast School of Education Homepage 10 July 2018
- (1729) Website Indiana University Directory 10 July 2018
- (1732) Website IU Southeast Alumni Resources IU Southeast Magazine 10 July 2018
- (1736) Website IU Southeast School of Nursing Homepage 10 July 2018
- (1737) Website IU Southeast Office of the Bursar Tuition and Fees 10 July 2018
- (1740) Policy IU Southeast Office of Admission Policies and Procedures September 2017
- (1742) Website IU Southeast Advancement Homepage 12 July 2018
- (1743) Website American Chemical Society (ACS) Approved Programs Indiana 12 July 2018
- (1791) Website IU Southeast General Education Requirements for All Baccalaureate Degrees 17 July 2018
- (1866) Website IU Southeast Career Development Center Homepage 19 July 2018
- (1934) Website IU Southeast Bulletin 2017-2019 Homepage 13 August 2018
- (2114) Handbook Indiana University Staff Handbook The Essential Guide for Professional Support and Service Staff September 2017
- (2122) Resource IU Southeast Bulletin 2017-2019 Full PDF Version 05 September 2018
- (2126) Website IU Southeast Bulletin 2017-2019 Admissions Requirements for Entering Students 24 January 2019

- (2151) Website IU Southeast Office of the Bursar Policies 25 January 2019
- (2192) Website IU Southeast The Higher Learning Commission (HLC) Accreditation Homepage 25 January 2019
- (2194) Website IU Southeast Bulletin 2017-2019 About IU Southeast Accreditation 25 January 2019
- (2202) Website IU Southeast School of Arts and Letters Faculty and Staff 28 January 2019
- (2203) Website IU Southeast School of Business Faculty and Staff 28 January 2019
- (2204) Website IU Southeast School of Education Faculty and Staff 28 January 2019
- (2205) Website IU Southeast School of Natural Sciences Faculty and Staff 28 January 2019
- (2206) Website IU Southeast School of Nursing Faculty and Staff 28 January 2019
- (2207) Website IU Southeast School of Social Sciences Faculty and Staff 28 January 2019
- (2225) Website IU Southeast Master of Interdisciplinary Studies General Requirements 28 January 2019
- (2506) Website IU Southeast The Higher Learning Commission (HLC) Accreditation About Accreditation 14 March 2019
- (2536) Accreditation National Council for Accreditation of Teacher Education (NCATE) Action Report May 2014
- (2537) Accreditation Commission on Collegiate Nursing Education (CCNE) Letter to Extend Accreditation 15 November 2010
- (2538) Resource Indiana Department of Education Approved Educator Preparation Programs for IU Southeast 15 August 2018
- (2610) Website IU Southeast Bulletin 2017-2019 Mission and Vision 31 March 2019
- (2634) Resource IU Southeast Organizational Chart (Updated) 09 April 2019
- (2664) Data Indiana University Fact Book Fast Facts for Southeast Fall 2016
- (2673) Website IU Southeast Bulletin 2017-2019 Undergraduate Studies 16 April 2019
- (2674) Website IU Southeast Bulletin 2017-2019 Graduate Studies 16 April 2019
- (2675) Website IU Southeast Faculty Senate Committees 2018-2019 Academic Year 16 April 2019
- (2676) Website IU Southeast Bulletin 2017-2019 Student Services 16 April 2019

2.C - Core Component 2.C

The governing board of the institution is sufficiently autonomous to make decisions in the best interest of the institution and to assure its integrity.

- 1. The governing board's deliberations reflect priorities to preserve and enhance the institution.
- 2. The governing board reviews and considers the reasonable and relevant interests of the institution's internal and external constituencies during its decision-making deliberations.
- 3. The governing board preserves its independence from undue influence on the part of donors, elected officials, ownership interests or other external parties when such influence would not be in the best interest of the institution.
- 4. The governing board delegates day-to-day management of the institution to the administration and expects the faculty to oversee academic matters.

Argument

2.C.1.

As previously discussed in 2.A., the IU Board of Trustees (BoT: 1653) is the governing board for all campuses of Indiana University, and it has an established set of by-laws to direct its efforts (1654). The BoT was created and invested, and is governed, by the Code of the State of Indiana (1655). It is responsible for the mission and role of the university and each of the campuses (1723). Like the other regional comprehensive campuses, IU Southeast enjoys a degree of self-governance, but all legal authority over IU Southeast rests with the BoT. Furthermore, it operates within the context of guidelines established by the Indiana Commission for Higher Education (ICHE) and the Higher Learning Commission (HLC) (1654).

The deliberations of the Board of Trustees, contained in the minutes of its meetings and reflecting its dedication to preserving and enhancing the institution, are publicly accessible on its website (2208). (For examples of individual minutes that directly affected IU Southeast during the initial development and subsequent changes to its mission statement, please see: 2679; 2680.)

2.C.2.

As is outlined in Indiana University policy ACA-01 (2214), the BoT is made up of nine trustees, three of whom are elected by graduates and six of whom are appointed by the Governor. As a representative body of a public institution and in accordance with state law, all trustee sessions, including committee meetings, committees of the whole, seminars, most retreat sessions and the formal business meeting (with the exception of executive meetings) are open to the public (1653), members of which may contribute to ongoing deliberations at the invitation of the Board. While public comment is not taken at board meetings, the public is encouraged to share comments and suggest agenda items for future consideration via email.

2.C.3.

The IU BoT exercises responsibilities that are circumscribed in detail across a range of statutes (1655), most of which can be found in the Code of the State of Indiana under Title 21, Higher

Education. Board members execute their duties within the guidelines set for all public servants under IC 35-44.1-1-4b regarding statutory conflict of interest.

2.C.4.

For a discussion of administration as delegated by BoT, please refer to 2.A.

In compliance with Indiana University policy ACA-04 (2759), "the faculty has legislative authority to establish policy and determine procedures for its implementation governing the teaching, research, and service aspects of the University's academic mission." This authority is duly exercised through the University Faculty Council (UFC), which consists of elected members across all Indiana University campuses. Indiana University policy ACA-05 (2760) further outlines the UFC by-laws.

- (0299) Strategic Plan IU Southeast 2016-2021 Strategic Plan 10 August 2016
- (1604) Website IU Southeast Grenadier Central Organizations 28 June 2018
- (1646) Website IU Southeast Academic Affairs Homepage 30 June 2018
- (1653) Website Indiana University Board of Trustees About 30 June 2018
- (1654) Website Indiana University Board of Trustees Bylaws 30 June 2018
- (1655) Website Indiana University Board of Trustees Indiana Code 30 June 2018
- (1666) Policy IU Southeast Guidelines for Assembly on Campus 24 August 2012
- (1688) Website IU Southeast Office of Marketing and Communication Homepage 30 June 2018
- (1707) Website IU Southeast Student Affairs Homepage 30 June 2018
- (1711) Website IU Southeast the Horizon the Student Voice of Indiana University Southeast 30 June 2018
- (1723) Website Indiana University Board of Trustees Mission and Role 10 July 2018
- (1830) News IU Southeast Now Article Social Sciences Forum to Discuss Black Lives Matter Movement by Steven Krolak 19 October 2016
- (1897) Website IU Southeast Grenadier Central Campus Activities Board (CAB) 20 July 2018
- (2208) Minutes Indiana University Board of Trustees Minutes 15 June 2018
- (2214) Policy Indiana University Authority of the Trustees of Indiana University ACA-01 n.d
- (2310) Policy Indiana University Academic Freedom ACA-32 04 November 2005
- (2452) Website IU Southeast Common Experience 2018-2019 Theme 12 March 2019
- (2679) Minutes Indiana University Board of Trustees 04 November 2005
- (2680) Minutes Indiana University Board of Trustees 16 June 2017
- (2703) Handbook Indiana University Code of Student Rights Responsibilities and Conduct (I.E.) 28 April 2019
- (2759) Policy Indiana University Constitution of the Indiana University Faculty ACA-04 23 February 2016
- (2760) Policy Indiana University Bylaws of the University Faculty Council of Indiana University ACA-05 27 November 2018

2.D - Core Component 2.D

The institution is committed to freedom of expression and the pursuit of truth in teaching and learning.

Argument

IU Southeast is dedicated to both freedom of expression and the pursuit of truth in teaching and learning. In 1966, Indiana University developed ACA-32 (2310), a policy on academic freedom; the policy was reaffirmed in 1976 and 2005. ACA-32 states: "The teacher and librarian shall have full freedom of investigation, subject to adequate fulfillment of other academic duties. No limitation shall be placed upon the teacher's and librarian's freedom of exposition of the subject in the classroom, or library, or on the expression of it outside."

Additionally, Article I.E. of the Indiana University Code of Student Rights, Responsibilities, and Conduct (2703) enshrines the right to freedom of association, expression, advocacy, and publication for students.

This commitment finds expression in a variety of ways. For example, IU Southeast Guidelines for Assembly on Campus (1666) "are designed to facilitate free expression" while also protecting "the safety of students, faculty, staff, visitors, and property" on campus. Also, campus political groups are active (1604), and the campus welcomes a variety of views through events, including gallery shows of works in all media by student and outside artists, the Social Sciences Forum (e.g. 1830), and the performances of poets, musicians, and speakers sponsored by the Campus Activities Board (1897) and the Common Experience (2452). The award-winning student newspaper The Horizon (1711) and its internet radio platform, Horizon Radio (1688), provide two broad forums for student expression. Content is not vetted through any IU Southeast administrative offices.

The 2016-2021 IU Southeast Strategic Plan (0299) and the Offices of Academic Affairs (1646) and Student Affairs (1707), respectively, both establish and safeguard policies designed to permit maximum inquiry and expression while assuring that all involved are aware of the responsibilities attached to both speech and inquiry (e.g. 1676; 1698; 1699; 1702).

- (0299) Strategic Plan IU Southeast 2016-2021 Strategic Plan 10 August 2016
- (1604) Website IU Southeast Grenadier Central Organizations 28 June 2018
- (1646) Website IU Southeast Academic Affairs Homepage 30 June 2018
- (1666) Policy IU Southeast Guidelines for Assembly on Campus 24 August 2012
- (1676) Policy IU Southeast Student Code Procedures August 2015
- (1688) Website IU Southeast Office of Marketing and Communication Homepage 30 June 2018
- (1698) Website IU Southeast Academic Affairs Research 30 June 2018
- (1699) Website IU Southeast Academic Affairs Research Compliance for Human (IRB) and Animal Subjects 30 June 2018

- (1702) Policy IU Southeast Research Policy Manual (Seventh Edition) 04 August 2016
- (1707) Website IU Southeast Student Affairs Homepage 30 June 2018
- (1711) Website IU Southeast the Horizon the Student Voice of Indiana University Southeast 30 June 2018
- (1830) News IU Southeast Now Article Social Sciences Forum to Discuss Black Lives Matter Movement by Steven Krolak 19 October 2016
- (1897) Website IU Southeast Grenadier Central Campus Activities Board (CAB) 20 July 2018
- (2310) Policy Indiana University Academic Freedom ACA-32 04 November 2005
- (2452) Website IU Southeast Common Experience 2018-2019 Theme 12 March 2019
- (2703) Handbook Indiana University Code of Student Rights Responsibilities and Conduct (I.E.) 28 April 2019

2.E - Core Component 2.E

The institution's policies and procedures call for responsible acquisition, discovery and application of knowledge by its faculty, students and staff.

- 1. The institution provides effective oversight and support services to ensure the integrity of research and scholarly practice conducted by its faculty, staff, and students.
- 2. Students are offered guidance in the ethical use of information resources.
- 3. The institution has and enforces policies on academic honesty and integrity.

Argument

2.E.1.

Broad institutional guidelines for research integrity and scholarly practice are outlined in Indiana University policy ACA-30 (2309) and are promulgated by the IU Office of Research Administration (ORA: 2704). At IU Southeast, the Dean for Research (1703) coordinates with the ORA to ensure that the guidelines are being respected, and the campus publishes its own institutional research policy manual (2761).

Besides research integrity, the ORA handles compliance for a wide range of areas including biosafety, radiation safety, conflict of interest, and export control. It also manages compliance for the use of animal and human subjects through the Institutional Research Board (IRB). The Dean for Research sits on the Indiana University Executive Committee, and there is also a dedicated IRB liaison on campus.

Since the time of the campus' last accreditation recertification, Indiana University has centralized its policies and procedures for human subjects research: "Effective July 1, 2015, review of human subjects research at all eight IU campuses is facilitated by the IU Human Subjects Office (HSO)" (1699). The IU Human Subjects Office (HSO: 1701) and Institutional Review Board (IRB) outline policies to assure the health, well-being, and ethical rights of both human and animal subjects when being researched by members of the Indiana University community (1704; 1675; 1746). This is in accordance with federal law as mandated by the Department of Health and Human Services (DHHS) (1747). The members of the IRB maintain guidelines established in accordance with federal regulations, best practices, and ethical considerations. The Board reviews and approves research proposals as required by external funding agencies and assures that all faculty, staff, and students have had their projects approved by the IRB prior to commencing them.

The Dean for Research contributes to the integrity of research processes by means of educational outreach and mentoring of researchers through IRB processes.

Animal research is conducted following U.S. government guidelines as formulated in the Animal Welfare Act (7 U.S.C. 2131 et. seq.) and other legislation, as well as principles established by the Institute of Laboratory Animal Resources of the National Academy of Sciences. This information is available on the IU Southeast website (1699).

The IU Southeast Institutional Animal Care and Use Committee (IACUC) is mandated by the Office

of Laboratory Animal Welfare of the National Institutes of Health and the U.S. Department of Agriculture (USDA). Per mandate, it is composed of a chairperson, a vice-chairperson, a research scientist, a nonscientist, a veterinarian, and community representative, and provides oversight for animal research. IU Southeast is registered with the USDA as required if and when regulated species are the subject of research.

2.E.2.

At a global level, the Student Learning Outcomes for Written Communication (1709) at IU Southeast require that students "develop, assert and support a focused thesis with appropriate reasoning and adequate evidence;" "demonstrate proficiency in reading, evaluating, analyzing, and using material collected from electronic sources;" and "use and cite the work of others appropriately, avoiding plagiarism, misquoting, and misreading." With this in mind, those courses that are part of the General Education core in Written Communication (1718) are evaluated on a regular basis by the Faculty Senate General Education Committee to assure that all students are provided with the skills and intellectual framework to be wise and ethical researchers (1663).

The Library (1598) faculty and the staff of The Writing Center (1712) are committed to assuring that students understand the ethical use of information, not simply as a means of avoiding charges of plagiarism, but as a fundamental aspect of effective and productive scholarship.

Information ethics are embedded in information literacy, the core of the Library Information Literacy Instruction Program (1667; 1678). This effort helps students develop skills and abilities that enable them to find information, critically evaluate that information for credibility and relevance, and participate in multiple information landscapes (academic, professional, personal). Goal IV of the program's learning outcomes (1739) states that students will be able to "explain the importance of copyright and fair use of copyrighted material, define plagiarism with a focus on information ethics, and describe the importance of giving credit to individual ideas in order to illustrate the importance of ethically participating in a conversation." All First Year Seminar (FYS) courses are required to participate in the program, as are Pathways courses (2240). Information literacy library instruction sessions are taught in many other courses upon request (1748).

2.E.3.

The IU Southeast policies and definitions of academic dishonesty and plagiarism are available in multiple places on the campus website (e.g. 1650; 1670; 1672; 1691; 1709).

Article II.1 of The Code of Student Rights, Responsibilities, and Conduct is devoted to policies on academic honest and integrity (1670). In addition, the IU Southeast Faculty Manual (2602) encourages instructors "to foster the intellectual honesty...of students," and to "explain clearly the meaning of cheating and plagiarism as they apply in the context of specific courses." While individual faculty members have the discretion to attend to instances of academic dishonesty in the ways they consider appropriate, a larger framework exists to assure consistency in response to infractions.

Additionally, the campus recently adopted Learning with Integrity. Learning with Integrity at IU Southeast was adapted from an online series of learning with integrity lessons created by a group of faculty at Indiana University-Purdue University Indianapolis (IUPUI). Learning with Integrity at IU Southeast addresses Academic Integrity, and is intended for faculty to share with students by embedding the lessons in their Canvas course sites. Topics addressed include definitions of Academic

Integrity and Misconduct, what happens if it occurs, and where it's most likely to happen. Additionally, video scenarios with IU Southeast students provide the opportunity to react to potential Academic Integrity situations. Students answer questions, assessing their knowledge, and Key Takeaways are provided. Faculty will be able to add this resource to their Canvas course sites beginning fall, 2019. Faculty teaching First Year Seminars are being introduced to Learning with Integrity at IU Southeast May 21, 2019.

- (1598) Website IU Southeast Library Homepage 28 June 2018
- (1650) Website IU Southeast the Writing Center Guides and Resources Avoiding Plagiarism -30 June 2018
- (1663) Resource IU Southeast General Education Committee Charge and Responsibility 30 June 2018
- (1667) Website IU Southeast Library Information Literacy at IU Southeast LibGuide Home 30 June 2018
- (1670) Website Indiana University Code of Student Rights Responsibilities and Conduct Part G Uphold and Maintain Academic and Professional Honesty and Integrity - 30 June 2018
- (1672) Website IU Southeast Dean of Student Life Code of Conduct IU Code Summary 30 June 2018
- (1675) Policy Indiana University Office of Research Compliance Human Research Protection Program (HRPP) Standard Operating Procedures and Policies - 29 March 2018
- (1678) Website IU Southeast Library Information Literacy at IU Southeast LibGuide FAQ 30 June 2018
- (1691) Website IU Southeast Plagiarism Prevention Workshop LibGuide Plagiarism Policies at IU 30 June 2018
- (1699) Website IU Southeast Academic Affairs Research Compliance for Human (IRB) and Animal Subjects 30 June 2018
- (1701) Website Indiana University Research Using Human Subjects in Your Research 30 June 2018
- (1703) Website IU Southeast Academic Affairs About Us Responsibilities 30 June 2018
- (1704) Policy Indiana University Research with Human Subjects RP-11-004 01 December 2010
- (1709) Website IU Southeast General Education Student Learning Outcomes 30 June 2018
- (1712) Website IU Southeast the Writing Center Homepage 30 June 2018
- (1718) Website IU Southeast General Education Course List Written Communication 30 June 2018
- (1739) Resource IU Southeast Library Information Instruction 2018 Program Outcomes (Updated) 11 July 2018
- (1746) Website Indiana University Research Human Subjects and IRB Policies Procedures and Regulations 13 July 2018
- (1747) Website United States Department of Health and Human Services (HHS) Office for Human Research Protections 45 CRF 46 13 July 2018
- (1748) Website IU Southeast Library Information Literacy Instruction Session Request Form -13 July 2018
- (2240) Website IU Southeast Bulletin 2017-2019 Undergraduate Studies General Education for All IU Southeast Baccalaureate Degrees 28 January 2019
- (2309) Policy Indiana University Research Misconduct ACA-30 18 April 2017

- (2602) Handbook Indiana University Southeast Faculty Manual (pp. 53-54) January 2019
- (2704) Website Indiana University Research Conducting Research Responsibly 28 April 2019
- (2761) Handbook IU Southeast Research Policy Manual 04 August 2016

2.S - Criterion 2 - Summary

The institution acts with integrity; its conduct is ethical and responsible.

Summary

IU Southeast's core value of integrity is expressed in the following terms:

We are uncompromising in our commitment to doing the right thing and being direct in our dealings. We are good stewards of our resources and take that responsibility seriously, are conscientious in our decision-making, and practice ethical behavior in all we do.

IU Southeast shares in the body of policies and procedures that govern the day-to-day operations of Indiana University. The IU Board of Trustees is our governing body. Its responsibilities are set by Indiana statutes. The Board of Trustees delegates campus authority to the Chancellor, who is supported by an administrative cabinet of Vice Chancellors overseeing Academic Affairs, Administrative Affairs, Advancement, Enrollment Management and Student Affairs, and the Regional Chief Information Officer. The IU Southeast Board of Advisors also supports the Chancellor, channeling input from the campus' service area.

Faculty governance is exercised through the Faculty Senate, which defines and reviews the goals of the university; sets requirements for degrees and determines when those requirements have been met; authorizes the granting of degrees; recommends priorities of educational programs; and establishes guidelines for student admissions, academic probation, student conduct, and related student affairs.

The 2016-2021 IU Southeast Strategic Plan and the Offices of Academic Affairs and Student Affairs, respectively, establish and safeguard policies designed to guarantee maximum yet responsible inquiry and expression. IU guidelines for research integrity and scholarly practice are promulgated by the IU Office of Research Administration (ORA), coordinated at IU Southeast by the Dean for Research. The campus publishes its own institutional research policy manual.

IU Southeast maintains unambiguous communication with internal and external constituencies through the official IU Southeast website, admissions materials, the 2017-2019 Bulletin, Employee Handbook, Southeast Magazine for alumni, and the IU Southeast Fast Facts.

Sources

There are no sources.